



IOSCO/357/FB/01/2011

Madrid, 9 September 2011

Public Comments Received by the Technical Committee of the International Organization of Securities Commissions and the Committee on Payment and Settlement Systems to the *Consultation Report – Principles for Financial Market Infrastructures*

In March 2011, the Technical Committee of IOSCO and the CPSS released their consultative document [*Principles for financial market infrastructures*](#) with a request that any comments be provided by 29 July 2011. These comments, in PDF format, are available below. The committees thank those who have taken the time and effort to express their views. The final version of the document is expected to be published in early 2012.

IOSCO and the CPSS are now reviewing the comments received and will publish a final report in early 2012. As set out in the cover note, the proposal is that relevant authorities will then strive to include the principles in their legal and regulatory framework by the end of 2012 and to apply the principles as part of their regulatory, supervisory and oversight activities as soon as possible. FMIs will be expected to take appropriate and swift action in order to meet the principles.

List of Respondents

ABN AMRO

Alternative Investment Management Association

Americas Central Securities Depositories Association

Argus Media Limited

Asia-Pacific Central Securities Depository Group

Association des Banques et Banquiers Luxembourg

Association of British Insurers

Australian Securities Exchange

BACs Payments Schemes Limited/CHAPS Clearing Company Limited

Banco de la Republica (Colombia)

Bank of Russia

Bank of Tanzania

Banka Slovenije

Bankgirocentralen

BMV Group Mexico



BNP Paribas
Borse Dubai
British Bankers Association
Bundesverband Deutscher Banken
Bundesverband Investment und Asset Management e.V.
Canadian Payments Association
CCP12 – The Global Association of Central Counterparties
Central Bank of Azerbaijan Republic
Central Bank of Egypt 1
Central Bank of Egypt 2
Central Bank of Sudan
Central Depository & Settlement Co. Ltd, Mauritius
Chris Barnard
Citigroup
Clearstream
CLS Bank International
CME Group
CNMV - Advisory Committee, Spain
Czech National Bank
Danmarks Nationalbank
De Nederlandsche Bank
DECEVAL S.A.
Desjardins Grefa
Deutsche Bank
DTCC Deriv/Serv LLC
Dubai Financial Services Authority
Dutch Advisory Committee Securities Industry
EBA Clearing
Equens SE
Eurex Clearing AG
Euroclear
European Association of CCP Clearing Houses
European Banking Federation
European Central Securities Depositories Association
European Fund and Asset Management Association
European Multilateral Clearing Facility
European Payments Council
FBF, AFTI and AMAFI
Financial Services Board, South Africa
Global Financial Markets Association
Global Valuation Limited



ICAP
ICMA – European Repro Council
Institute of International Finance
Intercontinental Exchange
International Banking Federation
Intesa Sanpaolo
Investment Management Association
ISDA – International Swaps and Derivatives Association
Japan Government Bond Clearing Corporation
Japan Securities Clearing Corporation
Japan Securities Depository Center
Japanese Bankers Association
JASDEC DVP Clearing Corporation
JP Morgan
KRX KSD KFTC
LCH Clearnet
London Stock Exchange Group
MICEX
Ministry of Economy and Public Finance Argentina
NACHA - The Electronic Payments Association
NASDAQ OMX
National Bank of Ukraine
Newedge USA, LLC
Norges Bank
NYSE Euronext
Omgeo
Osaka Securities Exchange Company
Payments Risk Committee - New York Federal Reserve Bank
Pierre Lichterowicz
Reserve Bank of India
Saudi Arabian Monetary Authority
Schweizerische National Bank
Singapore Exchange
SIX Interbank Clearing
SIX Securities Services
South Africa Reserve Bank
STET – International Payment Services
Strate Limited
Superintendencia Financiera de Colombia
Swedish Securities Dealers Association
SWIFT



COMMITTEE ON PAYMENT AND SETTLEMENT SYSTEMS



BANK FOR INTERNATIONAL SETTLEMENTS

Target Working Group
The Association of Global Custodians
The Canadian Depository for Securities Limited
The Clearing Corporation of India
The Clearing House
The Depository Trust & Clearing Corporation
The Options Clearing Corporation
The Royal Bank of Scotland Group
Thomas Murray
Tokyo Financial Exchange
Verdipapirsentralen
Visa Inc.
Vladimir Kopytin
Wholesale Markets Brokers' Association & London Energy Brokers'
Association
Working Group on Payment System Issues of Latin America and the
Caribbean
World Federation of Exchanges
World Forum of Central Securities Depositories
World Savings Bank Institute
Zhen Li

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