

BIO – Stephen Po

Mr Po is currently the Senior Director and head of the SFC's Intermediaries Supervision Department. In this role, Mr Po has primary responsibility for supervising intermediaries in the securities and futures sector to embrace high standards of conduct and integrity. The SFC supervises over 1,800 financial intermediaries in Hong Kong including securities dealers, futures brokers, financial planners and hedge fund managers. Mr Po oversees key activities such as carrying out on-site inspections of firms and meetings with senior management of financial corporations for the purpose of identifying and managing risky firms and detecting misconduct. He has also been actively involved in the formulation and implementation of anti-money laundering measures for the securities and futures industry. Mr Po is also the Chairman of the International Organisation of Securities Commissions ("IOSCO") Committee 3 on the Regulation of Market Intermediaries. The C3 is part of IOSCO's standard setting body that is responsible for reviewing and proposing standards on the regulation of market intermediaries in a cross border environment. The C3 currently comprises of members from 21 regulatory authorities around the world. Mr Po is a qualified accountant and holds a MBA and BBA degree.