MEDIA RELEASE

OICU-IOSCO

International Organization of Securities Commissions Organisation internationale des commissions de valeurs Organização Internacional das Comissões de Valores Organización Internacional de Comisiones de Valores

IOSCO/MR/41/2015

Madrid, 29 October 2015

SIX Exchange Regulation Hosts IOSCO's Affiliate Members

Attendees from 50 countries and over 80 institutions gathered in Zurich to discuss the practical

experience of "Implementing IOSCO Principles".

SIX Exchange Regulation hosted the 2015 Mid-Year Meeting of the IOSCO's Affiliate Members Consultative Committee (AMCC) in Zurich, from 25 to 28 October. The event was followed by the 8th edition of the IOSCO AMCC's Training Seminar and was co-organized by the <u>International</u>

Organization of Securities Commissions (IOSCO), the Brazilian Financial and Capital Markets

Association (ANBIMA) and SIX Exchange Regulation.

**Record attendance** 

The number of attendees was greater than that of previous years: More than 140 participants from 50

countries representing over 80 different institutions (regulators, self-regulatory organizations (SROs),

investor protection funds and compensation funds, as well as other bodies with appropriate interest in

securities regulation) gathered in Zurich to discuss the practical experience of "Implementing IOSCO

Principles".

AMCC chairman José Carlos Doherty, Chief Executive Officer of ANBIMA, delivered the opening

remarks of the four-day event. The program featured high-profile speakers, including David Wright,

IOSCO Secretary General, as well as executive board members and senior officials from the Swiss

Financial Markets Supervisory Authority, the UK Financial Conduct Authority, the US Financial

Industry Regulatory Authority, and other regulators and self-regulators from different countries.

The main topics covered in lively panel discussions, case studies and breakout sessions were:

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- recent trends in identifying market abuse;
- innovative approaches to market surveillance;
- the key elements of a sound risk-based supervision system;
- addressing conflicts of interest;
- the latest developments in cybersecurity and the role of regulators; and
- making a difference through enforcement actions as credible deterrence.

## Guests from around the world

"It was a great pleasure to host the AMCC Mid-Year Meeting and Training Seminar and an honor to welcome regulators and self-regulators from all over the world. We had excellent speakers and led many enriching discussions. It was also a good opportunity to broaden the international network," said host Corinne Riguzzi, Head Surveillance & Enforcement, SIX Exchange Regulation.

The event took place at the Radisson Blu Hotel Zurich Airport and was completed with evening programs in Zurich city that provided participants with insights into Swiss culture.