

A Resolution On Cooperation

Passed by the Executive Committee
June 1989

Considering the commitment to international cooperation made by the International Organization of Securities Commissions ("IOSCO") as embodied in the Resolution of the Executive Committee concerning Mutual Assistance, dated November 1986;

Recognizing the need of all nations with internationalized securities or futures markets to obtain information on persons and entities located outside their territory;

Recognizing that many IOSCO members are at the current time unable to provide assistance in obtaining information which is necessary for the oversight of another member's domestic securities or futures markets and the enforcement of that member's securities or futures laws;

Desiring to develop new mechanisms for mutual cooperation and assistance among securities or futures regulatory authorities;

IT IS RESOLVED THAT the Executive Committee call upon all the members of IOSCO:

- a. to consider the negotiation of bilateral and / or multilateral understandings which will enable countries to provide mutual cooperation and assistance and, subject to appropriate national law, to obtain for the requesting authority upon request information and documents on a reciprocal basis and without regard to whether the matter under investigation would be a violation of the law of the requested authority;
- b. to consider the recommendation of legislation to their respective legislatures or parliaments which would be necessary to implement assistance as described in paragraph (a).

agrees to be bound by this Resolution.
(name of the Regulatory Agency)

Name of person authorized to sign

Signature

Date

RESOLUTION ON COOPERATION

Technical Committee February 1989

Jurisdiction	Name of the Organization	Signed
REGULAR MEMBERS		
1 - ALGERIA	Commission d'Organisation et de Surveillance des Opérations de Bourse	
2 - ARGENTINA	Comisión Nacional de Valores	
3 - AUSTRALIA	Australian Securities and Investments Commission	Yes (N-3) (93-07-30)
4 - AUSTRIA	Austrian Securities Authority	Yes (N-4) (98-07-31)
5 - BAHAMAS	Securities Board (The Commonwealth of The Bahamas)	Yes (97-11-18)
6 - BAHRAIN (State of)	Bahrain Stock Exchange	
7 - BANGLADESH	Securities and Exchange Commission	
8 - BARBADOS	Securities Exchange of Barbados	
9 - BELGIUM	Commission bancaire et financière	Yes (90-05-28)
10 - BERMUDA	The Bermuda Monetary Authority	(N-10) (95-05-22)
11 - BOLIVIA	Superintendencia de Valores	
12 - BRAZIL	Comissão de Valores Mobiliários	Yes (N-12) (89-12-06)
13 - BULGARIA	Securities and Stock Exchanges Commission	
14 - CHILE	Superintendencia de Valores y Seguros	Yes (90-07-06)
15 - CHINA (People's Republic of)	China Securities Regulatory Commission	
16 - COLOMBIA	Superintendencia de Valores	
17 - COSTA RICA	Superintendencia General de Valores	
18 - CROATIA (Republic of)	Securities and Exchange Commission	
19 - CYPRUS (Republic of)	Central Bank of Cyprus	

20 - DENMARK	Finanstilsynet	Yes (90-06-22)
21 - DOMINICAN REPUBLIC	Banco Central de la República Dominicana	
22 - ECUADOR	Superintendencia de Compañías	
23 - EGYPT	Capital Market Authority	Yes (89-08-30)
24 - EL SALVADOR	Superintendencia de Valores	
25 - FINLAND	Financial Supervision Authority	Yes (94-08-11)
26 - FORMER YUGOSLAV REPUBLIC OF MACEDONIA	Securities and Exchange Commission	
27 - FRANCE	Commission des Opérations de Bourse	Yes (91-04-12)
28 - GERMANY	Bundesaufsichtsamt für den Wertpapierhandel (BAWe)	Yes (N-28) (95-05-19)
29 - GREECE	Capital Market Commission	
30 - GUERNSEY	Guernsey Financial Services Commission	Yes (93-11-12)
31 - HONG KONG	Securities and Futures Commission	Yes (89-06-15)
32 - HUNGARY	Hungarian Banking and Capital Market Supervision	
33 - INDIA	Securities and Exchange Board of India (SEBI)	Yes (N-33) (98-08-17)
34 - INDONESIA	Indonesian Capital Market Supervisory Agency	
35 - IRELAND	Central Bank of Ireland	Yes (95-05-10)
36 - ISLE OF MAN	Financial Supervision Commission	
37 - ISRAEL	Israel Securities Authority	
38 - ITALY	Commissione Nazionale per le Società e la Borsa	Yes (89-10-17)
39 - JAMAICA	Securities Commission	
40 - JAPAN	Financial System Planning Bureau of the Ministry of Finance	Yes (90-06-27)
41 - JERSEY	Jersey Financial Services Commission	Yes (96-02-12)

42 – JORDAN	Jordan Securities Commission	
43 – KAZAKHSTAN (Republic of)	National Securities Commission	
44 – KENYA	Capital Markets Authority	
45 - KOREA	Financial Supervisory Commission	
46 – KYRGYZ REPUBLIC	National Commission on Securities Market	
47 - LITHUANIA	Lithuanian Securities Commission	
48 - LUXEMBOURG (Grand Duchy of)	Commissariat aux Bourses	
49 - MALAYSIA	Securities Commission	
50 - MALTA	Malta Stock Exchange	
51 - MAURITIUS (Republic of)	Stock Exchange Commission	
52 - MEXICO	Comisión Nacional Bancaria y de Valores	Yes (96-07-24)
53 - MOROCCO	Conseil Déontologique des Valeurs Mobilières	Yes (N-53) (97-07-15)
54 - NETHERLANDS (The)	Stichting Toezicht Effectenverkeer	Yes (90-03-01)
55 - NEW ZEALAND	Securities Commission	Yes (89-09-14)
56 - NIGERIA	Securities and Exchange Commission	
57 - NORWAY	Kredit Tilsynet	Yes (96-06-27)
58 - OMAN (Sultanate of)	Muscat Securities Market	
59 - ONTARIO	Ontario Securities Commission	Yes (89-04-14)
60 - PAKISTAN	Corporate Law Authority / Government of Pakistan	
61 - PANAMA	Comisión Nacional de Valores	
62 – PAPUA NEW GUINEA	Securities Commission	
63 - PARAGUAY	Comisión Nacional de Valores	
64 - PERU	Comisión Nacional Supervisora de Empresas y Valores	Yes (N-64) (96-07-29)
65 - PHILIPPINES	Securities and Exchange Commission	
66 - POLAND	Polish Securities and Exchange Commission	

67 - PORTUGAL	Comissão do Mercado de Valores Mobiliários	Yes (N-67) (94-05-05)
68 - QUEBEC	Commission des valeurs mobilières du Québec	Yes (N-68) (96-07-10)
69 - ROMANIA	Romanian National Securities Commission	
70 - RUSSIA	Federal Commission for the Securities Market of the Russian Federation	
71 - SINGAPORE	The Monetary Authority of Singapore	
72 - SLOVENIA	Securities Market Agency	
73 - SOUTH AFRICA	Financial Services Board	Yes (N-73) (97-07-24)
74 - SPAIN	Comisión Nacional del Mercado de Valores	Yes (N-74) (93-07-28)
75 - SRI LANKA	Securities and Exchange Commission of Sri Lanka	
76 - SWEDEN	Finansinspektionen	
77 - SWITZERLAND	Commission Fédérale des Banques	Yes (N-77) (97-02-01)
78 - CHINESE TAIPEI	Securities and Futures Commission	Yes (90-01-03)
79 - TANZANIA	Capital Markets and Securities Authority	
80 - THAILAND	Office of the Securities and Exchange Commission	Yes (93-11-29)
81 - TRINIDAD AND TOBAGO	Trinidad and Tobago Securities and Exchange Commission	
82 - TUNISIA	Conseil du Marché Financier	
83 - TURKEY	Capital Market Board	Yes (89-10-19)
84 - UKRAINE	Ukrainian Securities and Stock Market State Commission	Yes (N-84) (96-07-04)
85 - UNITED KINGDOM	Financial Services Authority	Yes (89-04-21)
86 - UNITED STATES OF AMERICA	United States Securities and Exchange Commission	Yes (89-05-23)
87 - URUGUAY	Banco Central del Uruguay	
88 - VENEZUELA	Comisión Nacional de Valores	

89 - ZAMBIA Securities and Exchange Commission

ASSOCIATE MEMBERS

90 - ALBERTA Alberta Securities Commission

91 - BRITISH COLUMBIA British Columbia Securities Commission Yes
(90-05-24)

92 - JAPAN Ministry of Agriculture, Forestry and Fisheries

93 - JAPAN Ministry of International Trade and Industry
(MITI)

94 - JAPAN Securities and Exchange Surveillance
Commission

95 - LEBANON Banque du Liban

96 - LUXEMBOURG (Grand Duchy of) Institut Monétaire Luxembourgeois

97 - UNITED STATES OF AMERICA Commodity Futures Trading Commission

98 - UNITED STATES OF AMERICA North American Securities Administrators
Association, Inc. (NASAA) Yes
(89-09-09)