

**CPMI-IOSCO implementation monitoring of the
[Principles for financial market infrastructures - \(view text of the PFMI\)](#)**

Level 1

- **Level 1 online tracker** (as of January 2020) - [link](#)
- Previous updates to the Level 1 report:
 - **Fifth update** (published on 23 Jul 2018) - [View Report](#)
 - **Fourth update** (published on 14 Jul 2017) - [View Report](#)
 - **Third update** (published on 28 Jun 2016) - [View Report](#)
 - **Second update** (published on 11 Jun 2015) - [View Report](#)
 - **First update** (published on 28 May 2014) - [View Report](#)
 - **(Initial report): Implementation Monitoring of PFMI** - *Level 1 Assessment Report*, Report of the Committee on Payment and Settlement Systems and the Board of IOSCO, 12 Aug 2013 - [View Report](#)

Level 2

Implementation monitoring of PFMI: Level 2 assessment report for:

• Australia (published on 17 Dec 2015) - View Report	Covering all FMI types
• Brazil (published on 3 November 2020) – View Report – view press release	Covering all FMI types
• Canada (published on 2 Aug 2018) - View Report	Covering all FMI types
• European Union (published on 26 Feb 2015) - View Report	Covering <u>CCPs</u> and <u>TRs</u>
• Hong Kong SAR (published on 24 May 2017) - View Report	Covering all FMI types
• Japan (published on 26 Feb 2015) - View Report	Covering <u>CCPs</u> and <u>TRs</u>
• Singapore (published on 18 Jul 2017) - View Report	Covering all FMI types
• Switzerland (published on 30 Jan 2019) - View Report	Covering all FMI types
• United States (published on 31 May 2019) – View Report – view press release	Covering <u>PSs</u> , <u>CSDs/SSSs</u>
• United States (published on 26 Feb 2015) - View Report	Covering <u>CCPs</u> and <u>TRs</u>

Level 3

- *Implementation monitoring of PFMI: follow-up Level 3 assessment of **CCPs'** recovery planning, coverage of financial resources and liquidity stress testing*, Report of the Committee on Payments and Market Infrastructures and the Board of IOSCO, 03 May 2018 - [View Report](#)
- *Implementation monitoring of PFMI: Level 3 assessment – Report on the financial risk management and recovery practices of 10 derivatives **CCPs***, Report of the Committee on Payments and Market Infrastructures and the Board of IOSCO, 16 Aug 2016 - [View Report](#)

Combined Level 2/Level 3

- *Assessment and review of application of Responsibilities for **authorities***, Report of the Committee on Payments and Market Infrastructures and the Board of IOSCO, 30 Nov 2015 - [View Report](#)