



IOSCO 2017 ANNUAL CONFERENCE PUBLIC PANELS SPEAKERS

Montego Bay, Jamaica, 15-16 May 2017

Leonardo P. GOMES PEREIRA

Chairman, Securities and Exchange Commission (CVM) of Brazil

Growth and Emerging Markets Committee Vice-Chairman, International Organization of Securities Commissions (IOSCO)



Mr. Pereira is the Chairman of the Securities and Exchange Commission of Brazil (CVM). He represents CVM at the IOSCO Board and at the IOSCO Growth and Emerging Markets Committee. He also has a seat at the Financial Stability Board and at the IFRS Monitoring Board. In Brazil, he is a member of the Brazilian Technical Commission of Currency and Credit (COMOC), of the Brazilian Market Regulators Committee (COREMEC) and of the Brazilian Committee of Financial Education (CONEF).

Prior to becoming CVM Chairman, Mr. Pereira was Executive Vice President/ CFO of Gol Linhas Aereas S.A. He has also served as an officer and as a director in companies pertaining to different sectors of the Brazilian economy, including communications and agro business. He also worked at Citibank for 13 years, in different roles in Brazil, Asia, Latin America and the United States.

Mr. Pereira holds a degree in Production Engineering from Universidade Federal do Rio de Janeiro, a degree in Economics from Universidade Candido Mendes and an MBA degree from the University of Warwick of the United Kingdom. He has also attended extension courses in general management at Wharton Business School and at The Association for Overseas Technical Scholarship in Japan and completed Columbia University's Senior Executive Education Program.

Mr. Pereira was also a member of the Latin America Round Table on Corporate Governance on the auspices of OECD and of the Corporate Governance Committee of the American Chamber of Commerce in Brazil.

Paul Murithi MUTHAURA

Chief Executive Officer, Capital Markets Authority (CMA) of Kenya

Africa / Middle East Regional Committee (AMERC) Vice-Chairman, International Organization of Securities Commissions (IOSCO)



Mr Paul Murithi Muthaura has been leading the Capital Markets Authority (CMA) of Kenya since July 2012. In this period, the CMA has twice been recognized as the Most Innovative Capital Markets Regulator in Africa.

Mr. Muthaura is a member of the Board of the International Organization of Securities Commissions (IOSCO) and the Vice Chairman of the Africa and Middle East Regional Committee (AMERC) of IOSCO. He is also a member of the Consultative Committee of the East African Securities Regulatory Authorities (EASRA). At the national level he sits on the boards of the Insurance and Pensions regulator as well as the Vision 2030

Delivery Board.

Paul has previously worked as an Emerging Markets Advisor with the General Secretariat of IOSCO and as a senior commercial associate with the law firm of Daly and Figgis Advocates. In his time with the CMA he has held the positions of Head of Legal Framework, Head of Enforcement and Director, Regulatory Policy and Strategy.

Mr. Muthaura is an Advocate of the High Court of Kenya and is the holder of an LLb from the University of Warwick, LLM in Banking and Finance Law from the London School of Economics and Political Science and MPhil from the Maastricht School of Management.

Martin MOLONEY

Head of Markets Policy, Central Bank (CBI) of Ireland



Martin Moloney is the Head of Markets Policy at the Central Bank of Ireland. He is responsible for the development of regulatory policy in relation to all aspects of the asset management sector operating out of Ireland, including policy covering investment firms, markets, investment funds and related entities. He has represented Ireland on a range of European and global regulatory bodies. Before moving into securities and markets regulation, Martin held a number of other senior posts in the Central Bank of Ireland and in the Irish Department of Finance. He began his career in banking.

Martin is a member of the Board of the International Organisation of Securities Commissions (IOSCO) and chairs the sub-group of IOSCO's Investment Management Committee dealing with investment fund liquidity. He chairs the European Systemic Risk Board (ESRB) Expert Group on Investment Funds (Liquidity and Leverage) and is a member of the Financial Stability Board (FSB) working group on shadow banking.

Eric PAN

Director of the Office of International Affairs, U.S. Commodity Futures Trading Commission (CFTC)



Eric J. Pan is the Director of the Office of International Affairs at the US Commodity Futures Trading Commission. In this role, he oversees CFTC international regulatory initiatives, provides guidance regarding international issues raised in Commission matters, and represents the CFTC in various international bodies, including the International Organization of Securities Commissions (IOSCO) and the Financial Stability Board (FSB), and in dialogues with China, Europe, India and Japan. He is responsible for the CFTC's engagement with non-US regulatory counterparts and involved in the development and governance of multilateral and bilateral workstreams involving the CFTC.

Eric currently is the chair of IOSCO Committee 7 on Derivatives, staff chair of the OTC Derivatives Regulators Group, and co-chair of the FSB Working Group on UTI and UPI Governance.

Before joining the CFTC, Eric was Associate Director for International Regulatory Policy at the US Securities and Exchange Commission where he managed the SEC's engagement in the FSB and IOSCO.

Before entering the government, Eric was a law professor, director of a center on corporate governance, and lawyer in private practice in New York and Washington. He received his A.B. in Economics from Harvard College, M.Sc. in European and International Politics from the University of Edinburgh, and J.D. from the Harvard Law School.

George ROPER

Vice President Compliance, Scotiabank Group Jamaica



George Roper FCA, FCCA, CISA (non-prac.), B Sc. (Hons.) is the Vice President Compliance – Scotiabank Group Jamaica and Director Compliance Caribbean Central District. In that position, he has responsibility for the leadership and oversight of the AML/CFT and financial regulatory compliance programmes of Scotiabank and its affiliates in Jamaica. Scotiabank Jamaica is one of the oldest (over 125 years old) and largest banks operating in Jamaica, and is part of a global organization that operates in over 40 countries.

Mr. Roper was previously the Deputy Executive Director of the Financial Services Commission (FSC), taking up that position on April 1, 2007. The Commission is the public sector body responsible for regulating the investments, insurance and pension sectors in Jamaica. Mr. Roper acted as Executive Director of the FSC in 2008, at a time during which the FSC fearlessly

took decisive action against unregulated financial organizations. During that period, the FSC also made significant progress in the registration of pension funds. Mr. Roper had previously served as Senior Director of Securities at the FSC and prior to that was its Senior Director of Examinations and Investigations.

Mr. Roper is a former President and CEO of Mayo Holdings Limited (1998-1999) a management consultancy practice specializing in outsourced internal audit services for clients operating in the investment, merchant banking, fast food, real estate property management and hospitality industries. Mr. Roper was also previously the Head of Information Systems Audit at ICWI Group (1992–1995) and Chief Internal Auditor at Jamaica Mutual Life Assurance Society (1995-1997). Over his career, he has led and conducted audit assignments which uncovered fraud and irregularities in financial institutions based in North America, Jamaica, and other parts of the Caribbean.

Mr. Roper is a Fellow of the Institute of Chartered Accountants of Jamaica (“ICAJ”); a Fellow of the UK’s Association of Chartered Certified Accountants and holds a B Sc. degree in Management Studies. He is also a Certified Information Systems Auditor (“CISA”) having extensive experience in financial statement and information systems auditing which was gained while he was at Price Waterhouse, now Pricewaterhouse Coopers, between 1984 and 1992).

Mr. Roper has been an insightful, vibrant and much sought after presenter at conferences and seminars. He has presented on matters related to regulation/audit/compliance at events, which were held in the Americas, Caribbean and Europe, and were organized by notable organizations such as the Council of Securities Regulators of the Americas, The International Symposium on Economic Crime (based at Cambridge University in the UK), the Institute of Internal Auditors (“IIA”), the ICAJ, the FSC, the IMF administered Caribbean Regional Technical Assistance Centre, the Caribbean Group of Securities Regulators, the Eastern Caribbean Central Bank (St. Kitts), the Caribbean Association of Insurance Regulators, the Jamaica Institute of Financial Services, the Jamaica Bankers Association, the Florida International Bankers Association, KAW Management Services (Antigua), Global Compliance Solutions (Cayman), the Jamaica Deposit Insurance Corporation, the Jamaica Stock Exchange (JSE) and the Jamaica Chapter of the Global association of Risk Professionals (GARP).

Mr. Roper serves the Accounting profession through his membership of the ICAJ’s Audit Practices Committee (1999 – present). He is a former member of the Public Accountancy Board (2014 to 2016), the body which registers public accountants (RPAs) and promulgates rules and standards which govern the practice of RPAs in Jamaica. He has since 2011 been a member of the Board of Governors of the JSE’s e-Campus. Between 1997 and 1998 Mr. Roper was President of the Jamaica Chapter of the IIA and now serves as a member of the chapter’s Board of Governors (2013 to present). He is a member of the Kiwanis Club of North St Andrew and a proud past student of the now over 100-year-old Calabar High School which has a rich tradition of achievement in academics, sports and the arts, making a significant contribution to the building of Jamaica as a nation state.

Jean-Paul SERVAIS

Chairman, Financial Services and Markets Authority (FSMA) of Belgium

Board Vice-Chairman, International Organization of Securities Commissions (IOSCO)



Jean-Paul Servais is the chairman of the Belgian Financial Services and Markets Authority (FSMA).

Internationally, he holds mandates as vice chair of the IOSCO Board, as well as chairman of the IOSCO European Regional Committee, the Audit Committee of IOSCO-OICV and IOSCO's IFRS Monitoring Board.

He is also a board member of several international supervisory bodies for the financial sector: ESMA, ESRB, the College of Euronext Regulators and the College of Euroclear Regulators.

Within ESMA, he chairs the Financial Innovation Standing Committee (FISC).

He teaches at the Université Libre de Bruxelles (ULB, Free University of Brussels), where he is the deputy director of its special Master in Tax Law and professor in the LL.M. in International Business Law. He is author or co-author of numerous contributions, in particular in areas of relevance to the FSMA's activities and the academic and scientific activities undertaken at the ULB.

He obtained a Master of Law (Maîtrise en droit) from the ULB and a Master of Economics in Business Management (Master in de economie, bedrijfskunde) from the Vrije Universiteit Brussel (VUB).

Ranjit Ajit SINGH

Executive Chairman, Securities Commission (SC) of Malaysia

Board Vice-Chairman, International Organization of Securities Commissions (IOSCO)

Growth and Emerging Markets Committee Chairman, International Organization of Securities Commissions (IOSCO)



Ranjit Ajit Singh is the Executive Chairman of the Securities Commission (SC). He was previously Managing Director of the SC and has extensive experience in the field of finance and securities market regulation and has spearheaded many key initiatives in the development and reform of Malaysia's capital market.

Ranjit was appointed the Vice-Chairman of the governing Board of the International Organization of Securities Commissions (IOSCO), the global body of capital market regulators and was elected as the Chairman of IOSCO's Growth and Emerging Markets Committee (GEM) which represents 94 countries. In 2014, Ranjit was appointed Chairman of the ASEAN Capital Markets Forum (ACMF), a body tasked to spearhead market integration efforts within the region and comprises capital market

authorities from ASEAN.

Ranjit chairs the Securities Industry Development Corporation (SIDC), the Malaysian Venture Capital and Private Equity Development Council (MVCDC) and the Capital Market Development Fund (CMDf). He is also the Vice-Chairman of the Asian Institute of Finance and a member of the Board of the Labuan Financial Services Authority and the Financial Reporting Foundation as well as a board member of the Malaysian Institute of Integrity (IIM).

Ranjit is trained as a financial economist and accountant. He holds a Bachelor of Economics (Honours) degree and a Master of Economics degree in Finance from Monash University, Melbourne. He was also conferred the degree of Doctor of Laws honoris causa by Monash University Melbourne. He is a fellow of CPA Australia and has worked in academia, consulting and accounting in Australia and Malaysia.

Sergey A. SHVETSOV

First Deputy Governor, Bank of Russia



As the First Deputy Governor of the Bank of Russia Mr. Shvetsov is responsible for promoting stability and all-round development of the Russian financial market. More precisely he is in charge of the Bank of Russia activities in regulation, control and supervision of non-credit financial institutions, including market intermediaries and collective investment schemes, corporate governance, retail investors' protection, countering market manipulation and illegal use of insider information, as well as coordinating interaction with IOSCO, IAIS, IOPS, ICMA, ISDA and foreign financial markets regulators.

During his previous years of work at the Bank of Russia he has borne responsibility for the monetary policy, development of financial instruments and systemically important market infrastructures, as well as for international cooperation. Adding to this, Mr. Shvetsov has an experience of being a Deputy Head of the commercial bank.

Mr. Shvetsov holds a Specialist Degree in Economics from Lomonosov Moscow State University.