

## Table of Documents Referenced in the Methodology Footnotes

The following table has been produced from the footnotes of the Methodology. The objective of the table is to show which documents are considered relevant to an assessment of compliance with a particular IOSCO Principle.

The order of the table follows that of the Methodology. The table is organized as follows:

- Column 1: The name of the document and a hyperlink to the pdf copy of the document on the internet.
- Column 2: The year the document was published - this is key to identifying an IOSCO document on the IOSCO website should the hyperlink fail, as the IOSCO library is ordered by date.
- Column 3: Document number - this is the number allocated by IOSCO and is the second key to finding the document on the IOSCO website as documents are numbered sequentially within each year. Therefore, the lower the number the closer to the start of the particular year the document was issued.
- Column 4: Other Principles - this highlights where a document is referenced in more than one Principle.

Notes:

- Where the 3<sup>rd</sup> column (document no.) has been shaded this is to indicate that the document is an IOSCO Resolution or Recommendation and is not located in the IOSCO Website library: Policy Documents/Public Documents/filed by year (December to January). IOSCO Resolutions and Recommendations are located in Corporate Documents/Resolutions (most recent first).
- There are two instances where the shading in the 3<sup>rd</sup> column relates to the document being an IOSCO Statement rather than a Resolution – Principle 18 and Principle 19.

Document	Year	Doc No.	Other Principles
<b>Introduction</b>			
<i>Objectives and Principles of Securities Regulation</i> , IOSCO, June 2010, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD323.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD323.pdf</a>	2010	323	
<i>Measures to Disseminate Stock Property</i> , Report of the Emerging Markets Committee of IOSCO, May 1999, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD95.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD95.pdf</a>	1999	95	

Document	Year	Doc No.	Other Principles
<b>Objectives of Securities Regulation</b>			
<i>Causes, Effects and Regulatory Implications of Financial and Economic Turbulence in Emerging Markets - Interim Report</i> , Report of the Emerging Markets Committee of IOSCO, September 1998, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD80.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD80.pdf</a>	1998	80	
<i>Causes, Effects and Regulatory Implications of Financial and Economic Turbulence in Emerging Markets</i> , Report of the Emerging Markets Committee of IOSCO, November 1999, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD99.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD99.pdf</a>	1999	99	
<i>Hedge Funds and Other Highly Leveraged Institutions</i> , Report of the Technical Committee of IOSCO, November 1999, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD98.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD98.pdf</a>	1999	98	
<b>Introduction: The Regulatory Environment</b>			
Organization for Economic Cooperation and Development's <i>OECD Principles of Corporate Governance</i> at <a href="http://www.oecd.org/pdf/M00008000/M00008299.pdf">http://www.oecd.org/pdf/M00008000/M00008299.pdf</a>		N/A	17
<i>Resolution of the Presidents' Committee on IOSCO Adoption of the Objectives and Principles of Securities Regulation</i> , September 1998, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES16.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES16.pdf</a>	1998	16	
<b>Principle 8: Regulator and Managing Conflicts of Interest</b>			
<i>Unregulated Financial Markets and Products</i> , Final Report, Report of the Technical Committee of IOSCO, September 2009, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD301.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD301.pdf</a>	2009	301	16

Document	Year	Doc No.	Other Principles
<b>Principle 9: SRO</b>			
<i>Model for Effective Self-Regulation</i> , Report of the SRO Consultative Committee of IOSCO, May 2000, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD110.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD110.pdf</a>	2000	110	
<i>Principles of Effective Market Oversight</i> , Council of Securities Regulators of the Americas, May 1995, <a href="http://www.cvm.gov.br/ingl/inter/cosra/inter.asp">http://www.cvm.gov.br/ingl/inter/cosra/inter.asp</a>	1995	N/A	
<i>Issues Paper on Exchange Demutualization</i> , Report of the Technical Committee of IOSCO, June 2000, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD119.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD119.pdf</a>	2000	119	
<b>Principle 10: Inspection, Investigation and Surveillance Powers</b>			
<i>Outsourcing in Financial Services</i> , Report of the Joint Forum, February 2005, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD184.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD184.pdf</a>	2005	184	18 31
<i>Resolution on Principles for Recordkeeping, Collection of Information, Enforcement Powers and Mutual Cooperation to Improve the Enforcement of Securities and Futures Laws</i> , Resolution of the President's Committee of IOSCO, November 1997, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf</a> <b>“Resolution on Recordkeeping”</b>	1997	15	11 13 14 15
<i>Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information</i> , IOSCO, May 2002, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf</a> <b>“IOSCO MMoU”</b>	2002	126	11 12 13 14 15

Document	Year	Doc No.	Other Principles
<i>Principles on Client Identification and Beneficial Ownership for the Securities Industry</i> , Report of IOSCO, May 2004, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD167.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD167.pdf</a>	2004	167	31
<i>Initiatives by the BCBS, IAIS and IOSCO to Combat Money Laundering and the Financing of Terrorism</i> , Report of the Joint Forum, June 2003, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD146.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD146.pdf</a>	2003	146	31
<b>Principle 11: Regulator's Enforcement Powers</b>			
<i>Resolution on Enforcement Powers</i> , Resolution of the Presidents' Committee of IOSCO, November 1997, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES14.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES14.pdf</a>	1997	14	13
<i>Resolution on Principles for Record Keeping, Collection of Information, Enforcement Powers and Mutual Cooperation to Improve the Enforcement of Securities and Futures Laws</i> , Resolution of the President's Committee of IOSCO, November 1997, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf</a>	1997	15	10 13 14 15
<i>Securities Activity on the Internet</i> , Report of the Technical Committee of IOSCO, September 1998, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD83.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD83.pdf</a>	1998	83	13 16 31 33
<i>Securities Activity on the Internet II</i> , Report of the Technical Committee of IOSCO, June 2001, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD120.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD120.pdf</a>	2001	120	16 33
<i>Report on Securities Activity on the Internet III</i> , Report of the Technical Committee of IOSCO, October 2003, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD159.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD159.pdf</a>	2003	159	13 16 31

Document	Year	Doc No.	Other Principles
<i>Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information</i> , IOSCO, May 2002, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf</a>	2002	126	10 12 13 14 15
<b>Principle 12: Use of Powers</b>			
<i>Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information</i> , IOSCO, May 2002, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf</a>	2002	126	10 11 13 14 15
<b>Principle 13: Authority to Share Public Information</b>			
<i>Report on the Self-Evaluation Conducted by IOSCO Members Pursuant to the 1994 IOSCO Resolution on "Commitment to Basic IOSCO Principles of High Regulatory Standards and Mutual Cooperation and Assistance"</i> , Report of IOSCO, November 1997, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD76.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD76.pdf</a>	1997	76	
<i>Securities Activity on the Internet</i> , Report of the Technical Committee of IOSCO, September 1998, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD83.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD83.pdf</a>	1998	83	11 16 31 33
<i>Principles for the Oversight of Screen-Based Trading Systems for Derivatives Products - Review and Additions</i> , Report of the Technical Committee of IOSCO, October 2000, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD111.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD111.pdf</a>	2000	111	15 33
<i>Resolution on Enforcement Powers</i> , Resolution of the Presidents' Committee of IOSCO, November 1997, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES14.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES14.pdf</a>	1997	14	11

Document	Year	Doc No.	Other Principles
<i>Resolution on Principles for Record Keeping, Collection of Information, Enforcement Powers and Mutual Cooperation to Improve the Enforcement of Securities and Futures Laws</i> , Resolution of the Presidents' Committee of IOSCO, November 1997, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf</a>	1997	15	10 11 14 15
<i>Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information</i> , IOSCO, May 2002, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf</a>	2002	126	10 11 12 14 15
<i>Report on Securities Activity on the Internet III</i> , Report of IOSCO, October 2003, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD159.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD159.pdf</a>	2203	159	11 16 31
<i>Principles Regarding Cross-Border Supervisory Cooperation</i> , Final Report, Report of the Technical Committee of IOSCO, May 2010, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD322.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD322.pdf</a>	2010	322	14 15 33
<i>Multi-jurisdictional Information Sharing for Market Oversight</i> , Final Report, Report of the Technical Committee of IOSCO, April 2007, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD248.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD248.pdf</a>	2007	248	33 36
<i>Resolution on International Equity Offers</i> , Presidents' Committee of IOSCO, September 1989 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES41.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES41.pdf</a>	1989	41	15
<i>Guidance on Information Sharing</i> , Report of the Technical Committee of IOSCO, March 1998, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD86.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD86.pdf</a>	1998	86	15

Document	Year	Doc No.	Other Principles
<i>Principles for Memoranda of Understanding</i> , Report of the Technical Committee of IOSCO, September 1991, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD17.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD17.pdf</a>	1991	17	14 15
<b>Principle 14: Information sharing mechanism</b>			
<i>Principles for Memoranda of Understanding</i> , Report of the Technical Committee of IOSCO, September 1991, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD17.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD17.pdf</a>	1991	17	13 15
<i>Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information</i> , IOSCO, May 2002, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf</a>	2002	126	10 11 12 13 15
<i>Principles Regarding Cross-Border Supervisory Cooperation</i> Final Report, Report of the Technical Committee of IOSCO, May 2010, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD322.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD322.pdf</a>	2010	322	13 15 33
<i>Resolution on Principles for Record Keeping, Collection of Information, Enforcement Powers and Mutual Cooperation to Improve the Enforcement of Securities and Futures Laws</i> , Resolution of the Presidents' Committee of IOSCO, November 1997, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf</a>	1997	15	10 11 13 15

Document	Year	Doc No.	Other Principles
<b>Principle 15: Ability to Assist Foreign Regulators</b>			
<i>Resolution on Cooperation in Matters of Surveillance and Enforcement</i> , Resolution of the Presidents' Committee of IOSCO, September 1987 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES44.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES44.pdf</a>	1987	44	
<i>Resolution on International Equity Offers</i> , Resolution of the Presidents' Committee of IOSCO, September 1989 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES41.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES41.pdf</a>	1989	41	13
<i>Resolution on Information Sharing Between SROs</i> , Resolution of the SRO Consultative Committee of IOSCO, November 1990 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES40.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES40.pdf</a>	1990	40	
<i>Resolution on Principles for Memoranda of Understanding</i> , Resolution of the Presidents' Committee of IOSCO, September 1991 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES42.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES42.pdf</a>	1991	42	
<i>Resolution on Money Laundering</i> , Resolution of the Presidents' Committee of IOSCO, October 1992 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES5.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES5.pdf</a>	1992	5	
<i>Resolution on Transnational Securities and Futures Fraud</i> , Resolution of the Presidents' Committee of IOSCO, October 1993 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES8.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES8.pdf</a>	1993	8	
<i>Resolution Concerning Cross-Border Transactions</i> , Resolution of the Presidents' Committee of IOSCO, July 1995, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES12.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES12.pdf</a>	1995	12	



Document	Year	Doc No.	Other Principles
<i>Recommendation on the Recognition of Bilateral Netting Agreements in the Calculation of Capital Requirements for Securities Firms</i> , Recommendation of the Technical Committee of IOSCO, March 1996 <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES38.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES38.pdf</a>	1996	38	
<i>Resolution on Commitment to Basic IOSCO Principles of High Regulatory Standards and Mutual Cooperation and Assistance (Self-Evaluation)</i> , Resolution of the Presidents' Committee of IOSCO, October 1994, <a href="https://www.iosco.org/library/resolutions/pdf/IOSCORES11.pdf">https://www.iosco.org/library/resolutions/pdf/IOSCORES11.pdf</a>	1994	11	
<i>Principles for the Oversight of Screen-Based Trading Systems for Derivatives Products - Review and Additions</i> , Report of the Technical Committee of IOSCO, October 2000, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD111.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD111.pdf</a>	2000	111	13 33
<i>Guidance on Information Sharing</i> , Report of the Technical Committee of IOSCO, March 1998, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD86.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD86.pdf</a>	1998	86	13
<i>Measures Available on a Cross-Border Basis to Protect Interests and Assets of Defrauded Investors</i> , Report of the Technical Committee of IOSCO, July 1996, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD55.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD55.pdf</a>	1996	55	
<i>Principles for Memoranda of Understanding</i> , Report of the Technical Committee of IOSCO, September 1991, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD17.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD17.pdf</a>	1991	17	13 14
<i>Resolution on Principles for Record Keeping, Collection of Information, Enforcement Powers and Mutual Cooperation to Improve the Enforcement of Securities and Futures Laws</i> , Resolution of the President's Committee of IOSCO, November 1997, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES15.pdf</a>	1997	15	10 11 13 14

Document	Year	Doc No.	Other Principles
<i>Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information</i> , IOSCO, May 2002, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD126.pdf</a>	2002	126	10 11 12 13 14
<i>Report on Issues Raised for Securities and Futures Regulators by Under-Regulated and Uncooperative Jurisdictions</i> , Report of the Technical Committee of IOSCO, October 1994, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD41.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD41.pdf</a>	1994	41	
<i>Principles Regarding Cross-Border Supervisory Cooperation</i> , Final Report, Report of the Technical Committee of IOSCO, May 2010, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD322.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD322.pdf</a>	2010	322	13 14 33
<i>Risk Concentration Principles</i> , Report of the Joint Forum, December 1999, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD102.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD102.pdf</a>	1999	102	
<i>Intra-Group Transactions and Exposures Principles</i> , Report of the Joint Forum, December 1999, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD101.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD101.pdf</a>	1999	101	
<b>Principle 16 – Disclosure of information (Financial Results, Risk and Other)</b>			
<i>Principles for Ongoing Disclosure and Material Development Reporting by Listed Entities</i> , Statement of the Technical Committee of IOSCO, October 2002 <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD132.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD132.pdf</a>	2002	132	
<i>Insider Trading – How Jurisdictions Regulate it</i> , Report of the Emerging Markets Committee of IOSCO, May 2003, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD145.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD145.pdf</a>	2003	145	

Document	Year	Doc No.	Other Principles
<i>Disclosure Principles for Public Offerings and Listings of Asset-Backed Securities</i> , Final Report, Report of the Technical Committee of IOSCO, April 2010, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD318.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD318.pdf</a>	2010	318	
<i>International Disclosure Standards for Cross-Border Offerings and Initial Listings by Foreign Issuers</i> , Report of IOSCO, September 1998, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD81.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD81.pdf</a>	1998	81	17
<i>Securities Activity on the Internet</i> , Report of the Technical Committee of IOSCO, September 1998, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD83.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD83.pdf</a>	1998	83	11 13 31 33
<i>Securities Activity on the Internet II</i> , Report of the Technical Committee of IOSCO, June 2001, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD120.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD120.pdf</a>	2001	120	11 33
<i>Report on Securities Activity on the Internet III</i> , Report of the Technical Committee of IOSCO, October 2003, <a href="http://www.iosco.org/library/pubdocs/pdf/IOSCOPD159.pdf">http://www.iosco.org/library/pubdocs/pdf/IOSCOPD159.pdf</a>	2003	159	11 13 31
<i>International Disclosure Principles for Cross-Border Offerings and Listing of Debt Securities by Foreign Issuers</i> , Final Report, Report of the Technical Committee of IOSCO, March 2007, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD242.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD242.pdf</a>	2007	242	18
<i>International Equity Offers - Changes in Regulation Since April 1990</i> , Report of IOSCO, September 1991, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD16.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD16.pdf</a>	1991	16	

Document	Year	Doc No.	Other Principles
<i>International Equity Offers - Changes in Regulation Since April 1992</i> , Report of IOSCO, October 1994, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD38.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD38.pdf</a>	1994	38	
<i>International Equity Offers - Changes in Regulation Since April 1994</i> , Report of IOSCO, September 1996, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD61.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD61.pdf</a>	1996	61	
<i>International Equity Offers - Changes in Regulation Since April 1996</i> , Report of the Technical Committee of IOSCO, September 1997. <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD71.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD71.pdf</a>	1997	71	
<i>Report on Disclosure Requirements</i> , Report of the Development Committee (now called the Emerging Markets Committee) of IOSCO, October 1992, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD24.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD24.pdf</a> ;	1992	24	
<i>Report on Disclosure</i> , Report of the Emerging Markets Committee of IOSCO, October 1993, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD32.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD32.pdf</a>	1993	32	
<i>Report on Disclosure and Accounting</i> , Report of the Technical Committee of IOSCO, October 1994, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD39.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD39.pdf</a>	1994	39	
<i>Reporting of Material Events in Emerging Markets</i> , Report of the Emerging Markets Committee of IOSCO, September 1996, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD62.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD62.pdf</a>	1996	62	

Document	Year	Doc No.	Other Principles
<i>Adapting IOSCO International Disclosure Standards for Shelf Registration Systems</i> , Report of the Technical Committee of IOSCO, March 2001, <a href="https://www.iosco.org/library/pubdocs/pdf/IOSCOPD118.pdf">https://www.iosco.org/library/pubdocs/pdf/IOSCOPD118.pdf</a>	2001	118	
<i>Resolution on IOSCO Endorsement of Disclosure Standards to Facilitate Cross-Border Offerings and Listings by Multinational Issuers</i> , Resolution of the Presidents' Committee of IOSCO, September 1998, <a href="https://www.iosco.org/library/resolutions/pdf/IOSCORES17.pdf">https://www.iosco.org/library/resolutions/pdf/IOSCORES17.pdf</a>	1998	17	
<i>Resolution on IASC Standards</i> , Resolution of the Presidents' Committee of IOSCO, May 2000, <a href="http://www.iosco.org/library/resolutions/pdf/IOSCORES19.pdf">http://www.iosco.org/library/resolutions/pdf/IOSCORES19.pdf</a>	2000	19	18
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