Survey on interaction between Index Providers and Asset Managers

Purpose of this survey

This survey is directed at asset managers and index providers and examines the nature of the interaction between these parties. The questionnaire is intended to support the work of IOSCO Committee 5 by furthering its understanding of certain conduct-related index provider matters.

IOSCO has identified potential areas that require further study, including (i) the role of asset managers in relation to indices and index providers and the role and processes of index providers in the provision of indices, (ii) the potential impact of administrative errors on investment funds and (iii) potential conflicts of interest that may exist at the index provider in relation to the fund.

The survey also seeks information from respondents on their governance and processes during exceptional market events or shocks. Those events include, for example, the COVID-19 market shock (2020) and the Russian market shock (2022).

Individual responses will remain confidential and will remain anonymous to IOSCO Committee members.

To download the survey, please click here.

Responses should be submitted to ERI@IOSCO.org by the deadline, 26 November 2022.

MEDIA ENQUIRIES

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